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| APPLICATION NO. | LICATION NO. FILING DATE | | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. | |
|--|--------------------------|----------------------|----------------------|---------------------|---|--|
| 09/997,753 11/30/2001 | | Lawrence J. Mulligan | P-9115.00 | 3713 | | |
| 27581 | 7590 | 07/27/2004 | | EXAMINER | | |
| MEDTRONIC, INC. | | | | MACHUGA, JOSEPH S | | |
| 710 MEDTRONIC PARKWAY NE MS-LC340 MINNEAPOLIS, MN 55432-5604 | | | | ART UNIT | PAPER NUMBER | |
| | | | | 3762 | - · · · · · · · · · · · · · · · · · · · | |

DATE MAILED: 07/27/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

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| | Application No. | Applicant(s) | | | | | | |
|--|---|---|---|--|--|--|--|--|
| | 09/997,753 | MULLIGAN ET AL. | | | | | | |
| Office Action Summary | Examiner | Art Unit | | | | | | |
| | Joseph S. Machuga | 3762 | _ | | | | | |
| The MAILING DATE of this communication app Period for Reply | pears on the cover sheet with | n the correspondence address | | | | | | |
| A SHORTENED STATUTORY PERIOD FOR REPL THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.1 after SIX (6) MONTHS from the mailing date of this communication. - If the period for reply specified above is less than thirty (30) days, a repl - If NO period for reply is specified above, the maximum statutory period - Failure to reply within the set or extended period for reply will, by statute Any reply received by the Office later than three months after the mailin earned patent term adjustment. See 37 CFR 1.704(b). | 136(a). In no event, however, may a reply within the statutory minimum of thirty will apply and will expire SIX (6) MONT e, cause the application to become ABA | oly be timely filed (30) days will be considered timely. HS from the mailing date of this communication. NDONED (35 U.S.C. § 133). | | | | | | |
| Status | | | | | | | | |
| 1) Responsive to communication(s) filed on | <u>_</u> . | | | | | | | |
| 2a)⊠ This action is FINAL . 2b)☐ This | s action is non-final. | | | | | | | |
| | Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213. | | | | | | | |
| Disposition of Claims | | | | | | | | |
| 4) ☐ Claim(s) 1-30 is/are pending in the application 4a) Of the above claim(s) is/are withdra 5) ☐ Claim(s) is/are allowed. 6) ☐ Claim(s) 1-17 and 19-30 is/are rejected. 7) ☐ Claim(s) 18 is/are objected to. 8) ☐ Claim(s) are subject to restriction and/or | wn from consideration. | | | | | | | |
| Application Papers | | | | | | | | |
| 9) The specification is objected to by the Examine | er. | | | | | | | |
| 10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner. | | | | | | | | |
| Applicant may not request that any objection to the | drawing(s) be held in abeyand | e. See 37 CFR 1.85(a). | | | | | | |
| Replacement drawing sheet(s) including the correct | · | | | | | | | |
| 11) The oath or declaration is objected to by the E | xaminer. Note the attached | Office Action or form PTO-152. | | | | | | |
| Priority under 35 U.S.C. § 119 | | | | | | | | |
| 12) Acknowledgment is made of a claim for foreign a) All b) Some * c) None of: 1. Certified copies of the priority document 2. Certified copies of the priority document 3. Copies of the certified copies of the priority application from the International Burea | ts have been received. ts have been received in Ap prity documents have been r | plication No | | | | | | |
| * See the attached detailed Office action for a list | • | eceived. | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| Attachment(s) | a. □ | (070,440) | | | | | | |
| Notice of References Cited (PTO-892) Notice of Draftsperson's Patent Drawing Review (PTO-948) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date | Paper No(s) | mmary (PTO-413) Mail Date ormal Patent Application (PTO-152) | | | | | | |

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Response to Arguments

Applicant's arguments have been given careful consideration. Regarding the drawings the two external electrodes are not shown in the drawings as required by 37 CFR 1.83(a) and so the requirement still remains.

Regarding the Cohen #4984572 reference. All the particulars of the claims are provided for. Specifically the first sensor 20, a first circuit 18 and a processing circuit 12 that receives those two inputs. The system determines therefrom the mean pulmonary arterial pressure (note column 4, lines 23+) through an internal algorithm. While other variations are invisioned as noted by applicant this citation clearly states placing the sensor in the right ventricle or the left ventricle to calculate the MPAP, MPVP and MPCWP.

Drawings

1. The drawings are objected to under 37 CFR 1.83(a). The drawings must show every feature of the invention specified in the claims. Therefore, the two external electrodes recited in claim 3 must be shown or the feature(s) canceled from the claim(s). No new matter should be entered.

A proposed drawing correction or corrected drawings are required in reply to the Office action to avoid abandonment of the application. The objection to the drawings will not be held in abeyance.

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Claim Rejections - 35 USC § 102

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 2. Claims 1-4 and 6 rejected under 35 U.S.C. 102(b) as being clearly anticipated by Cohen #4984572. Regarding claim 4 the electrode is considered near the implantable device since it is located in the left ventricle.

Claim Rejections - 35 USC § 103

- 3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
 - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 4. Claim 5 is rejected under 35 U.S.C. 103(a) as being unpatentable over Cohen #4984572.
- 5. Cohen teaches adding pressure sensors to both the right ventricle and left ventricle to provide accurate pressure measurements. Given this disclosure it would have been obvious to one of ordinary skill in the art to add pressure sensors to both ventricles to provide an accurate measurement of the MPAP as well as to provide sensors to determine the pulmonary systolic arterial pressure and the pulmonary

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diastolic arterial pressure, features commonly known to be ascertainable from these locations.

- 6. Claims 7-12 are rejected under 35 U.S.C. 103(a) as being unpatentable over Cohen #4984572 in view of Halperin et al #5810735.
- 7. Cohen discloses an implantable pacemaker having a pressure sensor, sensing electrodes to monitor the EKG signal and pacing electrodes. The circuitry computes from the data the mean pulmonary arterial pressure and provides appropriate therapies in response. Not disclosed by this reference is the external device.
- 8. Halperin et al disclose an implant that includes an external device that receives data from the implant to perform calculations (such as the create a histogram), reprogram the implant or adjust the delivery of drugs or other therapies.
- 9. Given Halperin et al's disclosure it would have been obvious to one of ordinary skill in the art to modify Cohen's implant to include a transmitter and external receiver to perform the desired calculations, or to reprogram the implant or to adjust the delivery of drugs or other therapies.
- 10. Claims 13-17 and 23-27 are rejected under 35 U.S.C. 103(a) as being unpatentable over Cohen #4984572 in view of Carney #5368040.

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11. Cohen discloses an implantable pacemaker having pressure sensor, sensing electrodes to monitor the EKG signal and pacing electrodes. The circuitry computes from the data the mean pulmonary arterial pressure and provides appropriate therapies in response. Not disclosed by this reference is the use of the EGM signal and the pressure value to determine the MPAP.

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- 12. Carney teaches using the EGM, pressure values from the R wave (which would also represent the maximum pressure change), systolic and diastolic times, etc. to determine the pulmonary arterial pressure (note column 2.)
- 13. Given Carney's teaching it would have been obvious to use the EGM and pressure values, R wave point, systolic and diastolic times, etc. to determine the MPAP in Cohen's device given this references teaching that it provides a good estimates of that pressure.
- 14. Claims 19-22 and 28-30 are rejected under 35 U.S.C. 103(a) as being unpatentable over Cohen in view of Carney as applied to claims 13 and 23 above, and further in view of Halperin et al #5810735.

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15. Halperin et al disclose an implant that includes an external device that receives data from the implant to perform calculations (such as the create a histogram), reprogram the implant or adjust the delivery of drugs or other therapies.

- 16. Given Halperin et al's disclosure it would have been obvious to one of ordinary skill in the art to modify the implant of the proposed combination to include a transmitter and external receiver to perform the desired calculations, or to reprogram the implant or to adjust the delivery of drugs or other therapies.
- 17. Claim 18 is objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

Conclusion

THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any

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extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Joseph S. Machuga whose telephone number is 703-305-6184. The examiner can normally be reached on M-F 6:30-3:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Angela D Sykes can be reached on 703-308-5181. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Congeli D. Sphy

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SUPERVISORY PATENT EXAMINER
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